



UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sloan, et al.
Serial No: 09/976,443

Atty Docket: 60021-376002
Filed: October 12, 2001

Title: "AUTOMATED COACHING FOR A FINANCIAL MODELING AND
COUNSELING SYSTEM"

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

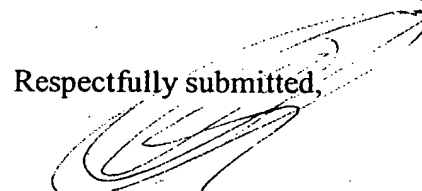
SUPPLEMENTAL RESPONSE TO NOTICE TO FILE MISSING PARTS

Enclosed herewith for filing in the above-identified application are the following:

- 1) Copy of Notice to File Missing Parts of Application (Filing Date Granted);
- 2) Substitute Specification with corrected margins;
- 3) 16 sheets of informal drawings; and
- 4) Postcard for date-stamped return as confirmation of receipt of these items.

The Commissioner is authorized to charge any required additional fees, or credit any overpayment, to deposit account 02-3964.

Respectfully submitted,



RAMBOD NADER
Reg. No. 47,262

Date: January 29, 2002

OPPENHEIMER WOLFF & DONNELLY LLP
Customer No. 25696
P.O. Box 10356
Palo Alto, CA 94303

I hereby certify that this correspondence with all attachments is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on January 29, 2002 by Patricia Beilmann.



Patricia Beilmann

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/976,443	10/12/2001	Ronald E. Sloan	60021.376002

CONFIRMATION NO. 2727

FORMALITIES LETTER



OC00000007138773

Adjustment date: 03/19/2002 SSALEEKU
02/20/2002 BABRAHA1 00000049 023964 09976443
01 FC:105 130.00 CR

Date Mailed: 11/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/29/2002 BABRAHA1 00000049 023964 09976443
01 FC:105 130.00 CR

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Stephen B. Slutsky
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE